SUPPLEMENTAL MATERIALS

ASCE *Journal of Infrastructure Systems*

Dynamic Resilience Quantification of Hydropower Infrastructure in Multihazard Environments

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DOI: 10.1061/JITSE4.ISENG-2188

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1 **PAPER SUPPLEMENTARY MATERIALS**

2

3 **CHEAKAMUS RESILIENCE-CENTRIC SD MODEL COMPONENTS RELATIONSHIPS**

- 4 This section presents the details of the six integrated modules for the Cheakamus resilience-
- 5 centric SD model including, the definition of each module component and the mathematical

6 equations that define the relations between the system components.

7

8 *Hydraulic Module*

9 The hydraulic module, shown in **Figure S1**, represents the hydraulic system components 10 that affect the hydraulic status of the hydropower dam during the operational period. These 11 components are summarised, as shown in **Table S1.**

12 **Table S1.** Dynamic variables used in the hydraulic module equations

14

$\frac{15}{16}$

This module represents reservoir storage *"RS"* as a stock, where the input is the inflow *"IF" and* the output is the outflow *"OF"*, as shown in **Eq. S1**. *RS* can also be determined using the stage storage curve as function in reservoir water level *"RL"*, as shown in **Eq. S2.**

 $d(RS)$ 20 $\frac{1}{dt}$

$$
21 = IF - OF
$$

$$
RL = Stage Storage curve (RS)
$$

IF is considered as input data to the SD model. It should be noted that in this study, historical inflow data is used in the validation and resilience quantification process. On the other hand, *OF* is determined as the summation of five outflow components, including Spillway Gate Release *"SGR"*, breach flow *"BF"*, Penstock leakage *"PL"*, Power flow release *"PF"*, Overtopping flow *"OT"*, as shown in **Eq. S3**.

$$
OF = SGR + BF + PL + PF
$$

29
$$
+ OF
$$
 Eq.S3

SGR represents the Unobstructed gate flow *"UGF"*, considering the real-time Gate capacity *"GC"*, as shown in **Eq. S4**. *UGF* is the gate flow in the case of no debris (i.e., GC= 100%), which can be determined using the gates rating curves as a function in reservoir water level *"RL"* and the gate position *"GP"* (determined by the gate actuator module), as shown in **Eq. S5**.

$$
SGR = UGF \times GC \qquad \qquad Eq. S4
$$

$$
40 \qquad UGF = Gates rating curve (RL, GP)
$$

BF is defined by the full reservoir storage when a dam breach is triggered *"DBT"* (when the dam is breached, the reservoir is completely emptied). Dam breach is usually triggered when the reservoir water level exceeds a particular level *"DBTL"* above the earth dam crest. In this study, *DBTL* is assumed to be 381.73m, according to King, 2020. *DBT* is a binary value of 1 for breached and zero for not breached, as shown in **Eq. S6** and **S7**.

$$
if (RL > DBTL): DBT = 1; else: DBT = 0
$$

$$
42 \quad \text{if } (DBT = 1): BF = RS; \text{ else: } BF = 0 \qquad \qquad Eq. S7
$$

PL is defined as the leakage flow occurred if the penstock is failed (i.e., Penstock rupture). Penstock rupture is initiated by the hazard sector (according to hazard impacts), where PL is equal to the Headcover max flow *"HCMF"* when the penstock rupture remaining repair time *"PsRrt"* is larger than zero. As shown in **Eq. S8**, *PL* also depends on the status of the Intake gate *"IG"*, where zero means the gate is open, and one means the gate is closed. The intake gate is usually placed at the upper stream end of the power flow conduit, where it is closed to reduce the negative impact of the excessive flows resulting from the penstock rupture (i.e., *PsRrt* > 0) or head cover failure (i.e., *HcRrt* > 0). The closure of the intake gate also depends on *RL*, where *RL* must reach an elevation below the sill of the *IG* (363.06 m) to be closed, as shown in **Eq. S9**.

52 if
$$
(PsRrt > 0 \& \& IG = 0)
$$
: $PL = HCMF$; else: PL

$$
53 \qquad \qquad = 0 \qquad \qquad Eq. S8
$$

54 if
$$
(PsRt > 0 \text{ or } HcRrt > 0)
$$
: $(if (RL < 363.06)$: $IG = 1$; else: $IG = 0)$; else: IG

$$
55 = 0 Eq.S9
$$

PF is defined by the flow transferred to the powerhouse (powerhouse flow conveyance *"PHFC"*), as determined by the turbine actuator sector, considering reduction that may occur due to the escaping flow of penstock leakage *PL* (if the penstock fails). *IG* status also affects the *PF*, where *IG* might be closed to empty the powerhouse for penstock or headcover maintenance, as shown in **Eq. S10**.

61 if
$$
(IG = 0)
$$
: $PF = PFC - PL$; else: $PF = 0$ $Eq. S10$

OF is determined using the overflow stage-discharge curve, stated in BC. Hydro, 2005, as a function in *RL* to determine the total overflow discharge passing over the reservoir's free-overflow weirs or/and saddle dams or/and main earth dam.

$$
0T = Overflow stage discharge curve (RL)
$$
\n
$$
Eq. S11
$$

Sensor Module

The sensor module, shown in **Fig. S2**, represents the collection and transmission process of the hydraulic variables from the hydraulic module to the operation module. These components are summarised, as shown in **Table S2.**

Fig. S2 Sensor Module components

As long as the sensors are properly functioning, gauge reading *"GR"* records *RL* considering the gauge reading errors *"GRE"*, as shown in **Eq. S12 and S13**.

$$
if (SRrt > 0): SC = 0; else SC
$$

$$
77 \hspace{1.5cm} Eq. S12
$$

78 if
$$
(SC = 1)
$$
: $GR = RL + \left(\frac{GRE}{100}\right) \times RL$; else: $GR = -1000$ *Eq. S13*

The gauge processing *"GP"* node represents the interpretation of the collected data processed by *PLC*. If the *PLC* is working properly, the collected data is sent to the operation sector through the gauge relay *"GRl"*. The gauge relay is carried out by a remote terminal unit *RTU*. In this model, the communication tools, including *RTU* and *PLC,* are modeled as one variable, *"PLCRTU"*, where the multi-hazard module determines the repair time for this component according to the hazard impact. The following **Eq. S14 and S15** describe the previous system components process.

85 if
$$
(PLCRTURrt > 0)
$$
: $PLCRTU = 0$; else $PLCRTU = 1$ $Eq. S14$

86 if
$$
(PLCRTU = 0)
$$
: $GP = -1000$, $GRl = -1000$; *else*: $GP = GR$, GRl

$$
87 \hspace{1.6cm} = GR \hspace{1.6cm} Eq. S15
$$

89 *OPERATION MODULE*

90 The operation module, shown in **Fig. S3**, is responsible for determining the turbine and gate 91 instructions, considering the current and expected dam hydraulic status and the operational targets. 92 The operation module components are summarised in **Table S3.** 93 94 95 96

97 98 99

100

Fig. S3 Operation Module components

The gate *"GI"* and turbine *"TI"* instructions are determined by the Operations planning algorithm, which aims to maximize power releases and ensure the minimum gate releases (i.e., fish flow). The operations planning algorithm, adopted from King et al., 2020, initially sets the gate releases equal to the fish flow, while the remaining inflow discharge is directed to the turbines with 110 a maximum power flow is 65 m³/s. Using the inflow forecasts "*IF_{forecasted*", reservoir level limits} (i.e., MNRL, MaNRL, MRL, MaRL), and fish flow demand for the next 14-day, the algorithm adjusts the initial gates and turbine releases over the 14-day window to maintain the *RL* within the normal operating range (detailed operation planning algorithm can be found in King, 2020). In this study, the model utilizes the historical Daisy Lake inflow data for the forecasted reservoir inflow. However, this forecasted inflow can be more realistically alternatively predicted using the climate forecasts and watershed models to determine the effect of climate change.

In the absence of hazards, gates usually operate remotely. However, hazards may impact the communication tools *PLCRTU* or sensors. Subsequently, manual actuation *"MA"* should be initiated until the *PLCRTU* or the sensors are returned to service, as shown in **Eq. S16**.

ሺ 0 0ሻ: ൌ 1; : ൌ 0 . 16

The manual actuation initiation process is represented by a stock "MAI" with an inflow is

- initiate "Int", and the outflow is demobilization " DM ". DM is set to zero when the site staff is 122
- mobilized, and manual actuation is no longer required, as shown in Eq. S17 and S18. 123

124 if
$$
(MA = 1 \text{ and } MAI = 0)
$$
: Int = 1; else: Int = 0 \n $Eq. S17$

125 if
$$
(SSM = 1 \text{ and } MA = 0)
$$
: $DM = 1$; else: DM

$$
126 \qquad \qquad = 0 \qquad \qquad Eq. S18
$$

The mobilization process for the site staff starts by notifying the plant manager to contact the site 127 staff to be mobilized. As such, the contacting process is represented by stock to represent the 128 remaining time to notify the plant manager and contact site staff "Crt", where its inflow is the 129 contact initiation "CI" and its outflow is contacting "CO". The contacting process is represented to 130 simulate any delay in the contact process "CD". Once the Crt stock is drained (i.e., $Crt = 0$), the 131 site staff "SSN" is considered to be notified and despatched to the site, as shown in Eq. S19, S20, 132 **S21.** 133

134 if (Int = 1 and
$$
SSM = 0
$$
 and $SSN = 0$): $CI = CD$; else : $CI = 0$ $Eq. S19$

135 if
$$
(Crt > 0 \text{ and } MAI = 1)
$$
: $CO = 1$; else: $CO = 0$ $Eq. S20$

136 if
$$
(MA = 1 \text{ and } Crt = 0)
$$
: $SSN = 1$; else: SSN

 $= 0$ $Eq. S21$ 137

As the site staff is being notified, the model mimics the process of accessing the site. The 138 accessing process is represented as a stock of accessing site remaining time "ASrt" with an inflow 139 of mobilization initiation "MOBI" and outflow of mobilizing "MOB". The accessing process is 140 represented to consider any access delays for the site staff "AD". Once the ASrt stock is drained, 141 the site staff is considered to be mobilized, as shown in Eq. S22, S23, and S24. 142

143 if
$$
(AStr > 0 \text{ and } SSM = 0 \text{ and } SSN = 1)
$$
: $MOBI = AD$; $else : MOBI = 0$ \n $Eq.S22$

ACTUATOR MODULE

GATE ACTUATOR MODULE

The gate actuator module, shown in **Fig. S4**, represents spillway gate components, which interact to adjust the spillway gate position according to the gate instructions. The gate actuator

module components are summarised in **Table S4.**

Table S4. Gate actuator module dynamic variables

Fig. S4 Gate Actuator Module components

This module represents two main nodes, Gate availability *"GA"* and Gate position *"GP"*. *GA* is determined based on the gate components affected by hazard impact. Generally, Gate components failures lead to three types of gate failure (binary): 1) Gate remains in the closed position *"Fc"*; 2) Gate sticks in its current position *"Fip"*; 3) Gate collapses *"Fcoll"*. According to the hazard impact, these three types of failures are initiated, and the required repair time by the multi-hazard module. *GA* is also affected by the gate power supply *"GPS"* status, depending on dam grid availability. Moreover, in the case of manual actuation, Site staff should be mobilized to consider the gate is available, as shown in **Eq. S25 and S26**.

165 If
$$
(MA = 0)
$$
: if $(Fc = 0 \& Fcoll = 0 \& Fip = 0 \& GPS = 1)$: $GA = 1$; else: $GA = 0$ $Eq. S25$

166
$$
If (MA = 1): if (Fc = 0 & Fcoll = 0 & Fip = 0 & SSM = 1): GA = 1; else: GA
$$

167 $= 0$ $Eq. S26$

If the gate is available, *GP* is set to gate instructions *"GI"* determined by the operational module. On the other hand, *GP* should set to the maximum opening position *"MP"* (12.5m for the Cheakamus dam) if the gate collapses, while *GP* is equal to zero if the gate fails in closed position. In case the gate is exposed to remain in place failure, *GP* should be equal to the last gate position *"LGP"* recorded, as shown in **Eq. S27**.

173 If
$$
(Fcoll = 1): GP = MP
$$
; If $(Fc = 1): GP = 0$; If $(Fip = 1): GP = LGP$;
174 If $(GA = 1): GP = GI$

POWER ACTUATOR MODULE

The power actuator module, shown in **Fig. S5**, represents the turbine components, which interact to determine the power releases according to the turbine instructions. The power actuator module components are summarised in **Table S5.**

Table S5. Power actuator module dynamic variables

183 This module is highly complex in real life; however, it has been simplified without affecting 184 the model results accuracy to avoid model complexity and the large computational time. As such, 185 the two main components being considered in this module are Power unit availability *"PUA"* and 186 Turbine flow *"TF"*. The power unit is considered available if the turbine components are working 187 properly, including Headcover *"HC"* and Generator *"GN"*. According to the hazard impact, *HC* 188 and *GN* are considered unavailable for the Power components remaining repair time, determined 189 by the multi-hazard module. *PUA* should also consider dam grid availability *"DGA"* if it is affected 190 by hazard impact. (See, **Eq. S28**)

191 If
$$
(HC = 1; GN = 1)
$$
: $PUA = 1$; else $PUA = 0$ $Eq. S28$

181
182

If the power unit is available, the unit can release the turbine flow equal to the turbine 192 instructions. However, no turbine flow is released if the GN is unavailable. Also, if the HC fails, 193 the maximum headcover flow "MHCF" is released, as shown in Eq. S29. 194 If $(PUA = 1)$: $TF = TI$; $If (PUA = 0 \& GN = 0)$: $TF = 0$; 195 $If (PUA = 0 & HC = 0): TF$ 196 $= M H C F$ Eg. S29 197 MHCF is a site-specific relationship to be determined by the system modeler. In this model, 198 MHCF is assumed to be five times the maximum turbine flow for the current reservoir level 199 "TFmax" considering that the intake gate should be opened, as shown in Eq. S30. However, if this 200 value (5*TFmax) makes the RL drop below the sill level (363.06 m), MHCF is adjusted to "Qsill" 201 202 to reduce the flow passing into the power tunnel from the reservoir. Moreover, If the gate collapses, no flow is released to the power unit, as shown in Eq. S30 and S31. 203 If $(IG = 0)$: $M HCF = min(5 * TFmax(RL), Qsill)$; else: $M HCF = 0$ $Eq. S30$ 204 $Qsill = max(RS + IF - SGR - RS_{at\,RL = 363.06}, 0)$ $Eq. S31$ 205 As turbine flow is determined, power flow conveyance "PFC" equals the sum of the 206 releases passes through each turbine unit (only one unit is considered in this model), as shown in 207 Eq. S32. 208 $PFC = \sum TF$ 209 $Eq. S32$ 210 211 212 213 **MULTI-HAZARD MODULE**

214 The multi-hazard module, shown in **Fig. S6**, represents the hazard type, impact time, and the 215 corresponding failed system components. The power actuator module components are summarised 216 in **Table S6.**

217 **Table S6.** Multi-hazard module dynamic variables

Dynamic Variables	Symbol
Hazard impact time	IT (days)
Hazard type	HT (code)
Componenet outage time length	$COTL$ (days)
Gate remaining repair time (array)	GRrt (days)
Failed close remaining repair time	FcRrt (days)
Failed remain in place remaining repair time	FipRrt (days)
Failed collapse remaining repair time	FcollRrt (days)
Power remaining repair time (array)	PRrt (days)
Headcover remaining repair time	HCRrt (days)
Generator remaining repair time	GNRrt (days)
Other componenet remaining repair time (array)	OCRrt (days)
PLCRTU remaining repair time	PLCRTURrt (days)
Penstock remaining repair time	PNRrt (days)
Grid remaining repair time	GDRrt (days)
Sensor remaining repair time	SRrt (days)

Fig. S6 Multi-hazard Module components

Hydropower system components that can be affected by the hazards have been divided into four groups: 1) Power components failure, including failure of head cover and generator; 2) Gate components failure, including components failure, leads to gate closure, gate collapse, and the gate remains in place; 3) Sensor's components failure including no-reading failure for the sensors; 4) Other components failure including communication equipment (i.e., *PLCRTU*), penstock rupture, and dam grid failure. Each group is simulated by a stock representing the components' remaining repair time, with an inflow defined by the time to repair, and outflow defined by the repair time. The stock and the two flows are defined as a dynamic array to represent its components (e.g., Power Components Remaining repair time *"PCRrt"* is an array with two elements, *PCRrt [0]* represent headcover component remaining repair time and *PCRrt [1]* represent generator component remaining repair time). The time to repair inflow rate is initiated at the hazard impact time" *IT"* and defined by the component outage time length *"COTL"* which represents the time length for the failed component to be out of service until it recovers according to the hazard event type *"HT"*. *COTL*, *IT,* and the sequence of the *HT* impacting the system are defined based on the generated multi-hazard scenario (see next section). *Outage Max Time Length* nodes represent the maximum remaining repair time of all components represented by the stock (e.g., Power Outage Max time Length is 20 days if the headcover is out of service for 10 days and the generator is out of service for 20 days). These nodes track the gate and turbine availability regardless of the failed component. It should also be noted that each type is simulated as an array that consists of different elements. Gate stock and flows are dynamic array which composed of three elements Failed close, Failed in place, and Collapsed, while Power stock and flows composed of two elements Head cover and Generator. Other component stock and flows consists of three elements PLCRTU, Penstock and Grid. The following equations **Eq. S33 and S34** are general for the four types of components

affected by the hazards, where X refers to G (for Gate), P (for Power), S (for Sensor), and OC (for 244 245 Other component).

$$
246 \quad \frac{d(XRrt)}{dt} = X \text{ time to repair} - X \text{ repair} \qquad \qquad Eq. S33
$$

If $(t = IT \& HT = N)$: Xcomponentfailure = COTL_N; else: Xcomponentfailures = 0 247 $Eq. S34$

- XOutage Max Time length= Max (XRrt [i]), where i is the elements of each XRrt array 248
- **DYNAMIC RESILIENCE MODULE** 249

The dynamic resilience module, shown in Fig. S7, represents the dynamic variables used 250 to determine the change in system performance and subsequently calculate system resilience based 251 252 on the developed resilience quantification approach. The dynamic resilience module components are summarised in Table S7. 253

Table S7. Dynamic resilience module dynamic variables

Dynamic Variables	Symbol
System performance	$P_{(Y)}(t)$
Initial system performance	$P_{0 (Y)}(t)$
System performance state	$SPS_{(Y)}(binary)$
Deduct	$Dt_{(Y)}(binary)$
System performance losses	$\rho_{(Y)}(t)$
System performance losses at the previous step	$\rho_{(Y)}(t-1)$
Current hazard impact time	$CIT(t)$ (days)
Current hazard impact time at the previous step	$CIT(t-1)$ (days)

Fig. S7 Dynamic Resilience Module components

Within this demonstration example, the module quantifies overall system dynamic resilience corresponding to the spillway gates releases that may refer to the loss of system functionality to ensure irrigation or fish flow demands and power flow releases that may refer to the losses in system functionality to ensure hydropower generation demands. For the two components (Power flow releases and Spillway gate releases), System performance (*P(t)*) is calculated based on **Eq. S1** (in the original manuscript) as the ratio between the actual and the designed releases. In this demonstration example, the designed releases are assumed to be the spillway gates and power releases in normal operations without any hazards. It should be noted that in the real-life of dams, system performance metrics are not usually a simple function in the flow values; however, it may refer to the hydropower or irrigation demands (depends on dam multi-purposes) with upper and lower allowable limits, which may also vary with time along the year. However, due to the unavailability of the detailed system objectives/demands, this demonstration example considers the designed system outputs equal to the output in the normal operations (in case of no hazards). The change in system performance stock subsequently accumulates the system 272 performance losses $(\rho_{(i)})$ starting from the current hazard impact time (t_0) . Current hazard impact time "CIT" is updated by the hazard impact times "IT" for each hazard event, considering that the 273 274 hazard event changes the system performance state "SPS". As explained previously, for consecutive hazard events, where the secondary hazard occurred after the system is recovered from 275 the primary hazard impact, System performance losses ρ_t should be calculated for each hazard 276 separately. As such, Deduct node " Dt " is responsible for subtracting the system performance losses 277 at the previous step " $\rho_{(t-1)}$ " from the accumulated system performance stock value for the dynamic 278 resilience calculation at the start of the secondary hazard impact time. Subsequently, the Dynamic 279 Resilience node can estimate the system resilience at each time step for each component based on 280 281 Eqs. S4-S9 in the original manuscript. Then, the System Dynamic Resilience node computes the overall system dynamic resilience by integrating the two dynamic resilience nodes for each 282 component based on Eq. S10 in the original manuscript. 283

The following equations Eq. S35, S36, and S37 are applicable for both spillway gates and power 284 flow releases resilience quantification, where Y is general symbol that refers to Spillway releases 285 286 and Power flow releases. It should also be noted that $P(t)$, $\rho(t)$ and $r(t)$ regarding Spillway and 287 Power flow releases and the overall system resilience $R(t)$ are calculated using Eq. S4-S9 stated in the original manuscript. 288

289 If
$$
(|P_{0(Y)}(t) - P_{(Y)}(t)| > 0)
$$
: $SPS_{(Y)} = 1$; else $SPS_{(Y)} = 0$ *Eq. S35*

290 If
$$
(SPS_{(Y)} = 1)
$$
: $CIT_{(Y)}(t) = IT(t)$; else $CIT_{(Y)}(t) = IT(t - 1)$ Eq. S36

291 If
$$
(CIT_{(Y)}(t) \neq CIT_{(Y)}(t-1) \& SPS_{(Y)} = 1)
$$
: $Dt_{(Y)} = \rho_{(Y)}(t-1)$; else: $Dt_{(Y)} = 0$ $Eq. S37$

- 292
- 293

CHEAKAMUS SD MODEL VALIDATION

The Cheakamus dam SD model is validated with the actual dam and the SD model constructed by King, 2020 outputs under different normal operations using the historical inflow data from 1967-1998 adopted from BC. Hydro, 2002. As shown in **Fig. S8 and S9**, the median curve of the power flow release and the total outflow of the SD model show a good agreement with the median of the numerical output of King, 2020 and the median of the actual values of the Cheakamus hydropower dam.

Fig. S8 Power Flow Release Validation

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